Colloidal CulnS₂ Quantum Dots as Inorganic Hole-Transporting Material in Perovskite Solar Cells

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ABSTRACT: To develop novel hole-transporting materials (HTMs) is an important issue of perovskite solar cells (PSCs), especially favoring the stability improvement and the cost reduction. Herein, we use ternary quantum dots (QDs) as HTM in mesoporous $TiO₂/CH₃NH₃PbI₃/HTM/Au$ solar cell, and modify the surface of CuInS₂ QDs by cation exchange to improve the carrier transport. The device efficiency using $CuInS₂$ QDs with a ZnS shell layer as HTM is 8.38% under AM 1.5, 100 mW cm[−]² . The electrochemical impedance spectroscopy suggested that the significantly enhanced performance is mainly attributed to the reduced charge recombination between $TiO₂$ and HTM. It paves a new pathway for the future development of cheap inorganic HTMs for the high efficiency PSCs.

KEYWORDS: CuInS₂, quantum dots, hole-transporting materials, perovskite solar cells, interface recombination

ENTRODUCTION

Methylammonium lead halide $(CH_3NH_3PbX_3, X = I, Cl, or Br)$ and its mixed-halide crystals have been used as both light absorber and charge transporter for a novel type of revolutionary solar cell.^{1 -3} The advantages of CH₃NH₃PbX₃ crystals are the direct band gap, large absorption coefficient, and high carrier mobility, $4-6$ $4-6$ which render them to be flawless light harvesters and ambipolar transporter.^{7−9} Most recently, a certified power [conv](#page-4-0)ersion efficiency (PCE) over 20% has been obtained, 10 which is comparable to t[ha](#page-4-0)t of the commercial silicon solar cells. PSCs generally employ organic HTMs such as Spiro[-O](#page-4-0)MeTAD,¹¹ PTAA,¹² P3HT,¹³ PANI,¹⁴ and so on. However, the cost is increasing to employ these HTMs in PSCs because the synthet[ic](#page-4-0) method[s a](#page-5-0)re com[pli](#page-5-0)cated [and](#page-5-0) high purity is needed for photovoltaic applications. For example, the current commercial price of high-quality Spiro-OMeTAD is over \$600 g^{-1} , which is many times more than precious metal. It limits the further large-scale application of cost-effective PSCs.

Some inorganic HTMs have already been proved in PSCs in previous reports such as $CuSCN₁₅¹⁵ CuI₂¹⁶$ and PbS.^{17−19} There are many distinctive properties of quantum dots (QDs) when being employed in photovoltaic[s](#page-5-0) such [as](#page-5-0) tunable [band](#page-5-0)gap,² inherently high absorption coefficient,²¹ hot electron transfer,²² and multiple excit[on](#page-5-0) generation. 23 In this paper, we report on "green" inorganic HTMs replacing th[e o](#page-5-0)rganic hole conduct[or,](#page-5-0) demonstrating an inexpensive, [sol](#page-5-0)ution-processable, inorganic HTM for the PSCs. Ternary semiconductor copper indium disulfide $(CulnS₂)$ is a less toxic alternative to cadmium- or

lead-containing semiconductors with a direct bandgap in the bulk of 1.45 eV and Bohr exciton radius of 4.1 $nm²⁴$ It has favorable features such as a high extinction coefficient in the visible spectral range, 25 proper band gap well-match[ed](#page-5-0) to the solar spectrum,²⁶ exceptional radiation hardness, and pronounced defect toler[an](#page-5-0)ce.²⁴ CuInS₂ QDs have been demonstrated with th[e n](#page-5-0)ew record efficiency in liquid quantum dotsensitized solar cells $(QDSSCs)$ $(QDSSCs)$ $(QDSSCs)$.²⁷ Herein, CuIn S_2 is selected on the basis of its suitable valence band position, p-type conductivity,^{28−30} and the co[m](#page-5-0)patibility of the solution deposition method with the organic-lead halide perovskite absorber. M[od](#page-5-0)i[fyi](#page-5-0)ng the surface of CuInS₂ QDs by cation exchange is employed to improve the charge transport and therefore the device performance. We have achieved a PCE of 8.38% for the PSC employing modified $CuInS₂ QDs$ as HTM.

EXPERIMENTAL SECTION

Materials. Indium acetate $(In(OAc)₃, 99.99%)$, oleylamine $(OAm,$ 97%), 1-octadecene (ODE, 90%), sulfur powder (S, 99.99%), lead(II) iodide (PbI_{2,} 99%), 4-tert-butylpyridine (tBP, 96%), titanium(IV) isopropoxide (TTIP, 97%), lithium bis(trifluoromethylsulfonyl) imide (Li-TFSI, 99.95%), and N,N-dimethylformamide (DMF) were purchased from Aldrich. Copper iodide (CuI, 99.998%) and diethyl ether (99%) were obtained from Alfa Aesar, while Spiro-OMeTAD (purity. 99.5%) was purchased from Merck KGaA. All chemicals were used as received without further processing. Patterned FTO coated

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Figure 1. (a) XRD pattern of the as-synthesized CuInS₂ QD, (b) UV-vis absorption and fluorescence spectra of the as-synthesized CuInS₂ and CuInS₂/ZnS core/shell QDs; (c) TEM image and (e) HRTEM micrograph of the as-synthesized CuInS₂ QD; (d) TEM image and (f) HRTEM micrograph of the CuInS₂/ZnS core/shell QDs.

glass substrates with a sheet resistance of 15 Ω ·sq⁻¹ were supplied from TEC company.

Synthesis of CuInS₂ QDs. We synthesize CuInS₂ QDs using the method reported by Zhong et al. 27 Typically, 0.1 mmol of CuI and 0.1 $\,$ mmol of $In(OAc)$ ₃ were added to 2.0 mL of OAm and 4.0 mL of ODE and degassed at 40 °C for 20 m[in](#page-5-0) in a 50 mL flask. Subsequently, the mixture solution was heated to 180 °C under argon flow, and once it reached 180 °C, 0.4 mmol of sulfur dissolved in 0.4 mL of OAm was injected into the reaction system for the QDs nucleation growth 20 min. The purified $CuInS₂$ QDs were obtained by precipitation and centrifugation procedure. Then, they were dispersed in n-hexane for use in the next step. The shell precursors $\text{Zn}(\text{OAc})_2$ solution was injected into the reaction system of as synthesized $CuInS₂$ nanocrystals at about 100 °C and kept at this temperature for 30 min to employ the cation exchange procedure for the growth of the shell material.

Device Fabrication. FTO-coated glass substrate with high transparency in the visible range with dimension of 2 cm \times 1.5 cm was patterned by etching with Zn powder and 2 M HCl diluted in water. The etched substrate was cleaned with detergent, rinsed with deionized water and ethanol in an ultrasonic bath for 30 min, and then dried by air. Finally, the substrate was annealed at 500 °C for 30 min.

A TiO₂ compact layer was then deposited on the cleaned transparent conducting oxide substrates by spin-coating a mildly acidic solution of TTIP at 2000 rpm for 30 s according to a reported method, 8 and then annealed at 500 $^{\circ}$ C for 30 min.

A mesoporous $TiO₂$ layer composed of commercial $TiO₂$ paste (Dyesol[18](#page-4-0)NRT, Dyesol) diluted in ethanol (1:3.5, weight ratio) was then deposited on the top of compact layer by spin-coating at 5000 rpm for 30 s. After drying at 125 °C, the TiO₂ films were annealed at 500 °C for 30 min to remove the organic matter. After cooling to room temperature naturally, the $TiO₂$ films were treated in a 0.04 M aqueous solution of TiCl₄ at 70 $^{\circ}$ C for 30 min, rinsed with deionized water and following annealed at 500 °C for 30 min.

PbI₂ powder was dissolved in DMF at a concentration of 462 mg mL[−]¹ (1 M) under stirring at 70 °C followed by filtering with a PVDF syringe filter (0.22 μ m). The solution was kept at 70 °C during the whole procedure. 40 μ L PbI₂ solution was spin-coated on the mesoporous TiO₂ films at 3000 rpm for 20 s, and dried at 50 °C for 3 min and 100 °C for 5 min consecutively. After cooling down, 100 μ L CH3NH3I solution in 2-propanol (10 mg mL[−]¹) was loaded on the ${\rm PbI_2\text{-}coated}$ ${\rm TiO_2}$ films for 20 s, which was spun at 4000 rpm for 30 s and then dried at 100 °C for 5 min.

The HTMs were deposited on the $CH_3NH_3PbI_3$ -coated TiO₂ films by spin-coating at 4000 rpm for 30 s, which is a solution of 72.3 mg Spiro-OMeTAD, 28.8 μ L TBA, 17.5 μ L of a solution of 520 mg mL⁻¹ LiTFSI in acetonitrile in 1 mL of chlorobenzene. The inorganic HTMs

colloidal CuInS₂ QDs or CuInS₂/ZnS core/shell QDs (about 5 mg mL[−]¹) with their original ligands (oleylamine) were deposited by spincoating on top of the $CH_3NH_3PbI_3$ -coated TiO_2 films at 4000 rpm for 30 s.

Finally, 60 nm thick gold was thermally evaporated on top of the device to form the back contact. The active area of devices were 9 mm² determined by a black mask with dimension of 3 mm \times 3 mm.

Characterization. The crystallinity of QDs was determined using X-ray powder diffraction analysis (XRD, TTR-III, Rigaku Corp., Japan) with Cu–K α irradiation ($\lambda = 1.5406$ Å). The cross-sectional morphologies of the photoelectrodes were investigated by a field emission scanning electron microscopy (FE-SEM, sirion200, FEI Corp., Holland). The TEM images were observed with transmission electron microscopy (TEM, JEOL-2010, Japan). The UV−vis spectrum of the films was obtained using a UV−vis spectrophotometer (U-3900H, HITACHI, Japan). The current−voltage characteristics (J−V) measurements were carried out on a Keithley model 2420 digital source meter controlled (Keithley Instruments, Inc., OH) by test point software under a xenon lamp (100 mW cm[−]²) provided by a solar simulator (solar AAA simulator, Oriel USA). The IPCE measurementwas conducted using a QE/IPCE measurement kit (Newport Corporation, USA). The electrochemical impedance spectra (EIS) were recorded by a computer controlled potentiostat (Autolab 320, Metrohm, Switzerland) in a frequency range of 1 Hz ∼ 1 MHz applied in the dark. The obtained impedance spectra were fitted with ZView software (v2.8b, Scribner Associates, USA).

■ RESULTS AND DISCUSSION

Characteristics of CuInS₂ and CuInS₂/ZnS Core/Shell QDs. Figure 1a shows the X-ray diffraction (XRD) spectrum of the CuInS₂ QDs. The diffraction peaks are identified as the tetragonal chalcopyrite structure according to JCPDS 47−1372 data. An intense peak at $2\theta = 27.4^{\circ}$ corresponds to the (112) crystal plane. Other prominent peaks correspond to the (204)/ (220) , $(116)/(312)$, and $(004)/(200)$ planes. In addition to these commonly observed orientations, the weak peaks such as (103) and (301) are also observed in the XRD pattern, distinguishing the chalcopyrite phase from the sphalerite phase. To passivate the surface defect, a ZnS layer was over coated around the presynthesized CuInS₂ QDs to form the CuInS₂/ ZnS core/shell heterostructure QDs via cation exchange.²⁷ Figure 1b shows the absorption spectra and fluorescence spectra of CuInS₂ and CuInS₂/ZnS core/shell QDs in nor[mal](#page-5-0)

Figure 2. Device architecture and energy level diagram. (a) Schematics view of the perovskite solar cell configuration: FTO glass, compact $TiO₂$ under layer, mesoporous TiO₂ with infiltrated CH₃NH₃PbI₃, CuInS₂ HTM, and gold. (b) Energy level diagram of the TiO₂/CH₃NH₃PbI₃/CuInS₂/ Au device showing electron injection and hole extraction.

Figure 3. Top view SEM image of (a) $CH_3NH_3PbI_3$ (b) $CH_3NH_3PbI_3/CuInS_2$ QD, and (c) $CH_3NH_3PbI_3/CuInS_2/ZnS$ core/shell heterostructure QDs deposited on the surface of TiO₂ films; (d) cross-sectional SEM structure of complete typical perovskite CH₃NH₃PbI₃ device.

hexane solvent. These QDs display a broad characteristic shoulder, which fail to emerge sharp excitonic peaks as $PbS³¹$ or CdSe.³² The main reason is that the dominance of internal and surface defects as previous studies reported.^{20,33,34} [T](#page-5-0)he overg[row](#page-5-0)th with ZnS typically leads to a blue shift of the PL spectrum (Figure 1b inset), which is likely indicati[ve of et](#page-5-0)ching of the core size under a thin shell growth conditions and the associated [increase](#page-1-0) in the degree of spatial confinement.³³ The use of wide band gap ZnS over coating results in a dramatic improvement of the PL quantum yield. According to p[rev](#page-5-0)ious reports, the cation exchange method makes the native core QD transfer into core/shell heterostructure QD without enhancing the particle size and changing the particle shape due to the absence of counteranion.^{27,35} This is verified by the TEM image (Figure 1e, f) of the QDs with triangle shape and size around 4 nm in diameter.²⁰ M[oreov](#page-5-0)er, the wide-field TEM images [\(Figure 1](#page-1-0)c, d) show that the resulting QDs have a narrow size distribution.

TiO₂/CH₃NH₃PbI₃/QDs/Au Solar Cells. The sequential deposition technique was used to fabricate the mesoscopic PSCs employing the CuInS₂ QDs and CuInS₂/ZnS QDs as $HTMs³⁶$ Currently, mesoporous $TiO₂$ scaffold layer is generally utilized as a porous substrate on which the $CH₃NH₃PbI₃$ light absorber can be deposited. In addition to

acting as a scaffold layer, the mesoporous $TiO₂$ film is responsible for accepting electrons from the absorber and transporting them to the FTO substrate. $36,37$ Besides, the compact $TiO₂$ hole-blocking layer is needed to keep holes formed in the perovskite or HTM layer from [reac](#page-5-0)hing the FTO electrode, as this would make the cell short-circuited.^{38,39} The device's configuration is shown in Figure 2a. We plotted the energy level diagram of the materials used in our [device](#page-5-0)s in Figure 2b according to the positions of the conduction bandedge (CB) and valence bandedge (VB) of the CuInS₂ $QDs⁴⁰$ We note there are literature reports providing different values. Actually, the exact values of the energy levels depend on the [me](#page-5-0)asurement and the QD synthetic methodology. The VB of the CuInS₂ QDs (-5.05 eV) in our study is perfect compared to the VB of CH₃NH₃PbI₃ (−5.43 eV), which favors hole from $CH₃NH₃PbI₃$ transfer into the inorganic HTM. It is worth remarking that the VB value of the CuInS_2 QDs in the literature reports have a broad distribution. The inherent energetic disorder of the $CuInS₂ QDs$ broadens the shape of its VB, which enabling the hole injection process. Figure 3a shows a scanning electron microscope top view image of the perovskite film on the $TiO₂$ film in which the crystal domains of the $CH_3NH_3PbI_3$ can be observed. It indicates the presence of perovskite crystals on top of the $TiO₂$ film. The treated film

highlights the formation of $CH₃NH₃PbI₃$ in crystals ranging from tens of nanometers to hundreds of nanometers in size from Figure 3a as that generally reported. 41 The HTMs are subsequently deposited by spin-coating. After annealing, the CuInS₂ [QDs o](#page-2-0)r CuInS₂/ZnS core/shell Q[Ds](#page-5-0) HTM was spincoated on the $TiO_2/CH_3NH_3PI_3$ film. The QDs penetrate into the remaining available $CH_3NH_3PbI_3$ pore volume and form a capping layer on top of the composite structure, which can be observed in Figure 3b (CuInS₂ QDs) and Figure 3c (CuInS₂/ ZnS core/shell QDs). Figure 3d shows a cross-sectional SEM picture. Because the $CH₃NH₃PbI₃$ will deg[rade in th](#page-2-0)e presence of polar solvent, the g[enerally e](#page-2-0)mployed layer-by-layer method for QD films does not work here and we just spin-coat the QD solution once. The QD layer thickness is in the range of tens of nanometers from the SEM image, smoothing the perovskite crystal cuboids surface. A thin gold layer is thermally evaporated under vacuum onto the HTMs, forming the back contact of the device. The transmittance of the $TiO₂/$ CH₃NH₃PbI₃ films before and after spin-coating the QDs are shown in Figure 4. The transmittance of the $TiO₂/$

Figure 4. Transmittance spectra of $TiO₂/CH₃NH₃PbI₃ film before$ and after spinning quantum dots.

 $CH₃NH₃PbI₃$ film is significantly increased within the range 550−800 nm comparing with that within the shorter wavelength region, similar as previously report about the absorption spectra of perovskite.¹⁸ However, the transmittance of the film is slightly increased after spin-coating the colloidal QDs. The reasons are that the li[gh](#page-5-0)t scattering of $CH₃NH₃PbI₃$ cuboids is reduced due to the solvent effect during the spincoating procedure and the QD layer absorption is negligible due to its thickness. The transmittance of $TiO_2/CH_3NH_3PbI_3/$ CuInS₂/ZnS core/shell film is even higher than $TiO₂$ / $CH₃NH₃PbI₃/CulnS₂ film and its effect on the device$ performance such as short circuit current will be discussed later.

Photovoltaic Performance. To demonstrate the potential of $CuInS₂$ QDs in PSCs, we compared the devices with the common Spiro-OMeTAD as HTM and without HTMs were prepared and the efficiencies. Figure 5a shows the J−V curves of the PSCs employed QDs as HTMs. The photovoltaic parameters of the PSCs are summarized in Table 1. A PCE of

Table 1. Photovoltaic Characteristics of PSCs

5.28% was achieved for the hole conductor-free device, lower than Etgar's reported,⁷ where $CH_3NH_3PbI_3$ film formation was induced by spin-coating $CH_3NH_3PbI_3$ film twice. Here, we fo[l](#page-4-0)low the sequential $CH_3NH_3PbI_3$ film method, where the PbI₂ film layer is spin-coated only once, for the $TiO₂/$ $CH₃NH₃PbI₃/QDs/Au$ cell with the optimized efficiency. The $TiO_2/CH_3NH_3PbI_3/CuInS_2/Au$ cell gives a J_{sc} of 16.6 mA cm^{-2} , a V_{oc} of 0.878 V, and a FF of 45.1% reaching a PCE of 6.57%. Both $J_{\rm sc}$ and $V_{\rm oc}$ are improved compared with device free of HTMs, although the FF is decreased, leading to an increased efficiency. To further improve the device efficiency, the surface of $CuInS₂$ QDs was passivated. The surface traps of QDs usually play a key role for the device performance and there have been many reports on the surface passivation effect on QD solar cell efficiency. Alivisatos et al. first reported the synthesis of core/shell heterostructure via cation exchange.³⁵ This strategy shows a beneficial effect on the photoluminescence properties of the cores due to the decreasing [of](#page-5-0) the defect density. We synthesize the $CuInS₂/ZnS$ core/shell QDs and use them as HTMs for PSCs. After the QD surface modification, the PSC efficiency was remarkably increased. The $TiO_2/CH_3NH_3PbI_3/CuInS_2/ZnS/Au$ cell gives a J_{sc} of 18.6 mA cm^{-2} , a FF of 48.7%, and a V_{oc} of 0.924 V reaching an impressive PCE of 8.38%. The performance improvement was attributed to core/shell heterostructure. Compared with the common Spiro-OMeTAD as HTMs, the PCE is still low

Figure 5. (a) J–V curves and (b) IPCE curves of PSCs: (1) TiO₂/CH₃NH₃PbI₃/Au cell, (2) TiO₂/CH₃NH₃PbI₃/CuInS₂/Au cell, (3) TiO₂/ $CH_3NH_3PbI_3/CuInS_2/ZnS/Au$ cell, (4) $TiO_2/CH_3NH_3PbI_3/Spiro-OMeTAD/Au$ cell.

(8.38% vs 13.9%) and there is much room for FF improvement (45.1% vs 69.3%). The organic ligands on the QD surface may be one reason for the lower FF and it may be optimized by using shorter linker molecules. Because of the smaller thickness of the QD layer compared with that of the Spiro-OMeTAD layer, we believe increasing the QD layer thickness and improving the hole transport will increase the shunt resistance and therefore improve the FF. The incident photon to current efficiency (IPCE) or external quantum efficiency (EQE) spectra represent the ratio of extracted electrons to incident photons at the electrode surface at a given wavelength. The corresponding IPCE spectra of the devices with various HTMs are plotted in Figure 5b. Compared with the PSC free of HTM, the IPCE spectrum of $TiO_2/CH_3NH_3PbI_3/CuInS_2/Au$ cell is significantly [improved](#page-3-0) in the range of 400−800 nm, more pronounced in the longer wavelength. Because of the introduction of $CuInS₂$ QD layer into the device does not lead to noticeable absorption change, the increased spectral response should be attributed to the improved charge collection in the presence of QD HTM. The IPCE result is further enhanced in all the measured wavelength range after the $CuInS₂ QD$ is passivated with ZnS shell layer. There is still room for the improvement of IPCE if we compare it with that of $TiO_2/CH_3NH_3PbI_3/Spin-$ OMeTAD/Au cell. Increasing the light scattering using bigger TiO2 nanoparticles or submicrospheres should resolve the problem. Integration of the IPCE spectra gives a $J_{\rm sc}$ of 18 mA cm[−]² , which is in good agreement with the current density from the J−V measurements.

Electrochemical Impedance Spectroscopy. Electrochemical impedance spectroscopy (EIS) can intuitively describe the recombination processes in $PSCs₁^{42–44}$ and the recombination resistance (R_{rec}) can be obtained by fitting the impedance spectra with appropriate [equiv](#page-5-0)alent circuit. The first arc at high frequency is related to the hole transport and extraction between the HTM and cathode.^{42,45} The main arc at middle freuency is due to the recombination between $TiO₂$ and HTMs. 16,43 The solar cells with CuInS₂ an[d](#page-5-0) [Cu](#page-5-0)InS₂/ZnS core/ shell QDs as HTM were analyzed at foreword bias of 0.8 V under [dark](#page-5-0) conditions. The obtained spectra (Figure 6) present the main arc at middle freuency range of 0.4 kHz to 50 kHz. There is some disorder in the low frequency data, which is common phenomenon in the PSCs.^{46−48} R_{rec} for TiO₂/ $CH₃NH₃PbI₃/CuInS₂/ZnS/Au$ cell shows larger value than that for $TiO_2/CH_3NH_3PbI_3/CuInS_2/Au$ $TiO_2/CH_3NH_3PbI_3/CuInS_2/Au$ $TiO_2/CH_3NH_3PbI_3/CuInS_2/Au$ [cell](#page-6-0), which indicates that recombination in the device based on $CuInS₂/ZnS$ core/

shell QDs is slower compared to CuInS₂-based devices, a reasonable interpretation for the improved efficiency. The retarded recombination is due to the decrease in the surface trap density in core/shell QDs.

■ CONCLUSION

In summary, we have demonstrated the $CuInS₂$ QDs as inorganic hole conductor for efficient PSCs by a solution process. Modifying the surface of $CuInS₂$ QDs by cation exchange to form $CuInS_2/ZnS$ core/shell heterostructure QDs and using them as HTMs give a PCE of 8.38%. Unmodified CuInS₂ QDs as HTM provides PCE lower than CuInS₂/ZnS core/shell QDs because of higher recombination between $TiO₂$ and HTM indicated by the electrochemical impedance spectroscopy results.

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Notes

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